

CONFIDENTIAL ESTATE PLANNING QUESTIONNAIRE
FAMILY UNIT INFORMATION

1. Your full (legal) name as it should appear on documents:

Date of Birth _____

2. Residence address _____

County of Residence _____

Residence Phone _____

3. Employer _____

Business address _____

Business Phone _____

4. Are you covered by social security? Yes _____ No _____

5. Health problems _____

6. Your spouse's (if any) full name as it should appear on documents:

Spouse's Date of Birth _____

7. Employer _____

Business Address _____

Business Phone _____

8. Is your spouse covered by social security? Yes _____ No _____

9. Spouse's Health problems _____

10. Date of marriage _____
Please list each state in which you and your spouse were permanent residents during marriage: _____

11. Names and dates of birth of all children of you and/or your spouse:

**Note: Please include deceased children, but indicate (D) after name. Please also include adopted children by indicating (A) after name.

If any of your children are married, please indicate the names of your married children and the full names of their spouses:

Names and ages of grandchildren:

**Note: Please include deceased grandchildren, but indicate (D) after name. Include adopted grandchildren by indicating (A) after name.

12. Your living parents (if applicable, please list names and place of residence).

Your spouse's living parents (if applicable, please list names and place of residence). _____

13. Are there any family members who require special schooling? _____

Special medical attention? _____

Full-time nursing care at home? _____

Other special attention? _____

14. Have you or your spouse had any previous marriages? _____

Terminating event and date: _____

15. Do you have any legal obligations to a former spouse or children?

16. Are any relatives (other than spouse and children) dependent upon you for support? Yes _____ No _____

If yes, who and to what extent? _____

17. Social Security Numbers: Yours: _____

Your spouse's:

18. Are you a U.S. citizen? Yes _____ No _____

19. Is your spouse a U.S. citizen? Yes _____ No _____

20. Are you or your spouse the beneficiary under any trust agreement?

Yes _____ No _____

If yes, please explain: _____

21. Do you or your spouse have a power of appointment to dispose of either income or principal under any trust? Yes _____ No _____

If yes, please explain: _____

22. Do you or your spouse anticipate receiving a significant inheritance? Yes _____ No _____

If yes, please explain: _____

23. Are you, your spouse or your children receiving gifts from anyone under a program of annual giving by that person or any other person?

Yes _____ No _____

If yes, please explain: _____

ASSET INVENTORY

Note: All assets are to be valued at their approximate current fair market value. Please include a brief description of all assets and indicate how your assets are titled.

	IN YOUR NAME ONLY	IN YOUR SPOUSE'S NAME	IN JOINT NAMES
	_____	_____	_____
Checking Accounts (average balance):			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
Savings Accounts and Money Market Accounts (average balance):			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
IRA's: _____	\$ _____	\$ _____	\$ _____
CD's: _____	\$ _____	\$ _____	\$ _____
Marketable Securities:			
a. Stocks			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
b. Bonds			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

	IN YOUR NAME ONLY	IN YOUR SPOUSE'S NAME	IN JOINT NAMES
c. Mutual Fund Shares			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
Mortgages (payable to you), leases, copyrights, trademarks, patents, etc.			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
Business interests:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
Are any of these business interests Subchapter S corporations? Yes ___ No ___			
(If yes, please specify.) _____			
Vested interest in pension or profit sharing plan:			
_____	\$ _____	\$ _____	\$ _____
Other investments:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

	IN YOUR NAME ONLY	IN YOUR SPOUSE'S NAME	IN JOINT NAMES
Tangible Personal Property:			
a. Cars, trailers & other motor vehicles	\$ _____	\$ _____	\$ _____
b. Boats & aircraft	\$ _____	\$ _____	\$ _____
c. Personal effects, jewelry, furs	\$ _____	\$ _____	\$ _____
d. Collections, works of art	\$ _____	\$ _____	\$ _____
e. Household effects	\$ _____	\$ _____	\$ _____
f. Guns, pets and hobby equipment	\$ _____	\$ _____	\$ _____
g. Other tangible assets	\$ _____	\$ _____	\$ _____

	IN YOUR NAME ONLY	IN YOUR SPOUSE'S NAME	IN JOINT NAMES	OUTSTAND- ING MORTGAGE AMOUNT
Real Estate (fair market value):				
_____	\$ _____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____	\$ _____
TOTAL ASSETS (other than insurance)	\$ _____	\$ _____	\$ _____	\$ _____

LIFE INSURANCE AND ANNUITY CONTRACTS

	<u>POLICY I</u>	<u>POLICY II</u>	<u>POLICY III</u>	<u>POLICY IV</u>
Name of insured	_____	_____	_____	_____
Insurance Company	_____	_____	_____	_____
Policy Number	_____	_____	_____	_____
Type of Policy (i.e. ordinary life, term, etc.)	_____	_____	_____	_____
Policy Owner	_____	_____	_____	_____
Primary Beneficiary	_____	_____	_____	_____
First alternate Beneficiary	_____	_____	_____	_____
Face amount of Policy	_____	_____	_____	_____
Present Cash Value	_____	_____	_____	_____
Outstanding Balance on Policy Loans	_____	_____	_____	_____

LIABILITIES

Note: Please include a brief description of all significant liabilities, the approximate amount due and who is the liable party (or parties).

	YOUR'S	YOUR SPOUSE'S	JOINT
Notes Payable (other than mortgage):			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
Total property taxes (average):	\$ _____	\$ _____	\$ _____
Average income taxes	\$ _____	\$ _____	\$ _____
Accounts Payable:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

	YOUR'S	YOUR SPOUSE'S	JOINT
	_____	_____	_____
Other liabilities:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
Contingent liabilities as endorser or guarantor:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
TOTAL LIABILITIES	\$ _____	\$ _____	\$ _____

DOCUMENTS

The documents listed below are all important to the development of your estate plan. Because these documents contain technical legal details which should be analyzed by a lawyer, it is important that you furnish a copy of each of these if at all possible.

1. Present Will of you and your spouse.
2. The trust instrument for any trust created by you or your spouse.
3. The trust instrument for any trust under which you, your spouse or any of your children are a beneficiary or have any other interests.
4. Gift tax returns (all).
5. Stockholder or partnership agreements (including buy-sell agreements).
6. Instruments under which you or your spouse have a power of appointment.
7. Prenuptial or postnuptial agreements or separation agreements.
8. Powers of Attorney, Health Care Designations and/or Living Wills executed or authorized by you or your spouse.